

Court of Appeal

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**Regina (Mansell) v Tonbridge and Malling Borough Council**

[2017] EWCA Civ 1314

2017 July 4;  
Sept 8

Sir Geoffrey Vos C, Lindblom, Hickinbottom LJJ

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*Planning — Development — Permitted development — Challenge to lawfulness of planning permission for residential development on site of existing barn and bungalow — Whether local planning authority erring in consideration of fallback position if permission refused — Whether misinterpreting scope of permitted development rights for change of use of agricultural building to dwelling houses — Whether erring in approach to sustainable development under national planning policy — Citation of authorities on presumption in favour of sustainable development — Respective roles of planning decision-makers and courts in planning cases — Town and Country Planning (General Permitted Development) (England) Order 2015 (SI 2015/596), Sch 2, Pt 3, paras Q, Q.1(b) — National Planning Policy Framework (2012), para 14e*

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The local planning authority received an application for planning permission for proposed development involving the demolition of a 600 square metre barn and an associated bungalow and their replacement with four new dwellings. The planning officer's report recommended that permission be granted, advising that a realistic "fallback" position if permission were refused was that a less desirable development of four dwellings would still go ahead, involving converting part of the barn into three dwellings pursuant to permitted development rights under Class Q in Part 3 of Schedule 2 to the Town and Country Planning (General Permitted Development) (England) Order 2015<sup>1</sup> for the change of use of an agricultural building to dwelling houses, and building a further dwelling on the site of the bungalow in accordance with development plan policy. The report also advised that government emphasis on sustainable housing development in the National Planning Policy Framework<sup>2</sup> ("NPPF") was a material consideration. After considering the report the authority's planning committee granted planning permission. The claimant sought judicial review challenging the grant of planning permission on the grounds that the local authority, in reliance on the officer's report, had: (i) misinterpreted Class Q, in that the restriction in sub-paragraph Q.1(b), limiting the cumulative floor space of the existing building changing use to 450 square metres, applied to the total floor space of the agricultural building in question and not merely to the floor space actually changing use; (ii) wrongly concluded that there was a real prospect of the fallback position being implemented; and (iii) erred in its approach to sustainable development in circumstances where the "presumption in favour of sustainable development" in paragraph 14 of the NPPF was not operative, there being a five-year supply of deliverable housing sites and thus no warrant for disapplying the development plan. The judge dismissed the claim on all grounds.

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On the claimant's appeal—

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<sup>1</sup> Town and Country Planning (General Permitted Development) (England) Order 2015, Sch 2, Pt 3, paras Q, Q.1(b): see post, para 6.

<sup>2</sup> National Planning Policy Framework, para 14: see post, para 38.

A *Held*, dismissing the appeal, (1) that, giving Class Q in Part 3 of Schedule 2 to the Town and Country Planning (General Permitted Development) (England) Order 2015 its literal meaning, sub-paragraph Q.1(b) placed a restriction on the change of use, not the size of the building or buildings in which the change of use occurred; that, therefore, the total floor space of the building or buildings concerned could be more than 450 square metres, but the cumulative amount of floor space changing use could not exceed 450 square metres, whether as separate elements of floor space added together or a single element of floor space; and that, accordingly, the local authority's planning officer had not misrepresented the Class Q permitted development rights in his advice on the fallback position and those rights had been correctly interpreted and lawfully applied (post, paras 14–15, 21, 61, 62, 65).

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(2) That when the court was considering whether a decision-maker had properly identified a real prospect of a fallback development being carried out if planning permission were refused, as opposed to a prospect that was merely theoretical, there was no rule of law that, in every case, the real prospect depended on the site having been allocated for the alternative development in the development plan or planning permission having been granted for that development, or on there being a firm design for the alternative scheme, or on the landowner or developer having said precisely how it would make use of any permitted development rights available under the 2015 Order; that while that degree of clarity and commitment might be necessary in some cases, in others it might not, it being always a matter for the decision-maker's planning judgment in the particular circumstances of the case; that it had been both appropriate and necessary for the planning committee to take into account the fallback position available to the landowner, including the permitted development rights arising under Class Q and the relevant provisions of the development plan, where it was clear that the landowner had a firm intention to go ahead with a residential development even if that had to involve the conversion and change of use of the existing barn to residential use; and that the planning committee, having adopted advice on the fallback position which was sound and in accordance with established principles of law, had been entitled lawfully to conclude that there was a real prospect of the fallback development being implemented and to give that matter weight as a material consideration (post, paras 26–30, 32, 33, 36–37, 61, 62, 65).

*Samuel Smith Old Brewery (Tadcaster) v Secretary of State for Communities and Local Government* [2009] JPL 1326, CA applied.

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(3) That the Court of Appeal having given a judgment setting out its understanding of the presumption of sustainable development in the NPPF, it was no longer necessary or appropriate to cite any first instance judgments in which the meaning of the presumption had been considered; that, since the correct approach was that a development which did not earn the presumption in favour of sustainable development in paragraph 14 of the NPPF might still merit the grant of planning permission, while a development which did benefit from the presumption might still be found unacceptable in planning terms, planning decision-makers had to exercise planning judgment as much where the presumption in favour of sustainable development applied as where it did not; that, while the interpretation of planning policy was ultimately a matter for the court, the decision whether planning permission should be granted was always a matter of planning judgment for the members of the local authority's planning committee, advised by planning officers, exercising their judgment to decide where the planning balance lay based on material considerations, and they were to be given space to undertake that process freely and fairly without undue interference by courts picking apart their planning officer's advice, which was written not for lawyers but for councillors well-versed in local affairs and local factors; that, therefore, appeals ought not to be mounted on the basis of a legalistic analysis of the different formulations adopted in a planning officer's report and an

appeal would succeed only if there were some distinct and material defect in the report; and that, applying established principles to the assessment of the officer's report, the officer had not fallen into the error of applying the presumption of sustainable development in paragraph 14 of the NPPF as a material consideration outside its proper sphere of application, but had conducted an entirely conventional and lawful balance of other material considerations, including the sustainability of the proposed development in the light of NPPF policy, against the identified conflict with the development plan, without giving sustainability the added impetus of a presumption (post, paras 39–42, 43, 52–57, 59, 61, 62–64, 65).

*R v Selby District Council, Ex p Oxtton Farms* [2017] PTSR 1103, CA and *East Staffordshire Borough Council v Secretary of State for Communities and Local Government* [2018] PTSR 88, CA applied.

Decision of Garnham J [2016] EWHC 2832 (Admin) affirmed.

The following cases are referred to in the judgment of Lindblom LJ:

*Barker Mill Estates (Trustees of the) v Test Valley Borough Council* [2016] EWHC 3028 (Admin); [2017] PTSR 408

*Brentwood Borough Council v Secretary of State for the Environment* (1996) 72 P & CR 61

*East Staffordshire Borough Council v Secretary of State for Communities and Local Government* [2017] EWCA Civ 893; [2018] PTSR 88, CA

*Kverndal v Hounslow London Borough Council* [2015] EWHC 3084 (Admin); [2016] PTSR 330

*R v Mendip District Council, Ex p Fabre* [2017] PTSR 1112; (2000) 80 P & CR 500  
*R v Secretary of State for the Environment, Ex p PF Ahern (London) Ltd* [1998] Env LR 189

*R v Selby District Council, Ex p Oxtton Farms* [2017] PTSR 1103, CA

*R (Loader) v Rother District Council* [2016] EWCA Civ 795; [2017] JPL 25, CA

*R (Morge) v Hampshire County Council* [2011] UKSC 2; [2011] PTSR 337; [2011] 1 WLR 268; [2011] 1 All ER 744; [2011] LGR 271, SC(E)

*R (Palmer) v Herefordshire Council* [2016] EWCA Civ 1061; [2017] 1 WLR 411, CA  
*R (Siraj) v Kirklees Metropolitan Council* [2010] EWCA Civ 1286; [2011] JPL 571, CA

*R (Watermead Parish Council) v Aylesbury Vale District Council* [2017] EWCA Civ 152; [2018] PTSR 43, CA

*R (Williams) v Powys County Council* [2017] EWCA Civ 427; [2018] 1 WLR 439, CA

*R (Zurich Assurance Ltd (trading as Threadneedle Property Investments)) v North Lincolnshire Council* [2012] EWHC 3708 (Admin)

*Reigate and Banstead Borough Council v Secretary of State for Communities and Local Government* [2017] EWHC 1562 (Admin); [2017] JPL 1368

*Samuel Smith Old Brewery (Tadcaster) v Secretary of State for Communities and Local Government* [2009] EWCA Civ 333; [2009] JPL 1326, CA

No additional cases were cited in argument or referred to in the skeleton arguments.

#### APPEAL from Garnham J

By a claim form dated 23 February 2016 the claimant, Michael Mansell, sought judicial review challenging the lawfulness of a planning permission granted on 13 January 2016 by the local planning authority, Tonbridge and Malling Borough Council, to the interested parties, Croudace Portland and the East Malling Trust, for proposed redevelopment of land at Rocks Farm, The Rocks Road, East Malling involving the demolition of a large barn

A and an associated bungalow and their replacement with four new dwellings. On 10 November 2016 Garnham J [2016] EWHC 2832 (Admin) dismissed the claim.

By an appellant’s notice, and with permission granted by the Court of Appeal (McCombe LJ) on 21 February 2017, the claimant appealed on the grounds that the local planning authority, in reliance on the officer’s report, had erred in: (i) its consideration of the fallback position were  
 B permission to be refused, in that it had misinterpreted the scope of the permitted development rights conferred by Class Q in Part 3 of Schedule 2 to the Town and Country Planning (General Permitted Development) (England) Order 2015; (ii) its conclusion that there was a real prospect of the fallback position being implemented; and (iii) its approach to sustainable development in circumstances where the “presumption in favour  
 C of sustainable development” in paragraph 14 of the National Planning Policy Framework was not operative, there being a five-year supply of deliverable housing sites and thus no warrant for disapplying the development plan.

The facts are stated in the judgment of Lindblom LJ, post, paras 2–4.

*Annabel Graham Paul* (instructed by *Richard Buxton Environmental and Public Law, Cambridge*) for the claimant.

*Juan Lopez* (instructed by *Chief Solicitor and Monitoring Officer, Tonbridge and Malling Borough Council, West Malling*) for the local planning authority.

The interested parties did not appear and were not represented.

The court took time for consideration.

E 8 September 2017. The following judgments were handed down.

## LINDBLOM LJ

### *Introduction*

F 1 Should the judge in the court below have quashed a local planning authority’s grant of planning permission for the redevelopment of the site of a large barn and a bungalow to provide four dwellings? That is what we must decide in this appeal. It is contended that the authority misdirected itself in considering a “fallback position” available to the landowner, and also that it misapplied the “presumption in favour of sustainable development” in  
 G the National Planning Policy Framework (2012) (“the NPPF”)—a question that can now be dealt with in the light of this court’s recent decision in *East Staffordshire Borough Council v Secretary of State for Communities and Local Government* [2018] PTSR 88.

H 2 The appellant, Mr Michael Mansell, appeals against the order of Garnham J [2016] EWHC 2832 (Admin), dated 10 November 2016, dismissing his claim for judicial review of the planning permission granted on 13 January 2016 by the respondent, Tonbridge and Malling Borough Council, for development proposed by the first interested party, Croudace Portland, on land owned by the second interested party, the East Malling Trust, at Rocks Farm, The Rocks Road, East Malling. The proposal was to demolish the barn and the bungalow on the land and to construct four detached dwellings, with garages and gardens. Mr Mansell lives in

a neighbouring property, at 132–136 The Rocks Road—a Grade II listed building. He was an objector. A

3 It was common ground that the proposal was in conflict with the development plan. Rocks Farm is outside the village of East Malling to its south east, within the “countryside” as designated in the Tonbridge and Malling Borough Core Strategy. The site of the proposed development extends to about 1.3 hectares. The barn, about 600 square metres in area, had once been used to store apples. The bungalow was lived in by a caretaker. B  
The application for planning permission came before the council’s area 3 planning committee on 7 January 2016. In his reports to committee the council’s planning officer recommended that planning permission be granted, and that recommendation was accepted by the committee. The officer guided the members on the “fallback position” that was said to arise, at least partly, through the “permitted development” rights for changes of use from the use of a building as an agricultural building to its use as a dwelling house, under Class Q in Part 3 of Schedule 2 to the Town and Country Planning (General Permitted Development) (England) Order 2015 (“the GPDO”). C

4 Mr Mansell’s challenge to the planning permission attacked the officer’s approach to the “fallback position” and his assessment of the proposal on its planning merits. Garnham J dismissed the claim for judicial review on all grounds. Permission to appeal was granted by McCombe LJ on 21 February 2017. D

#### *The issues in the appeal*

5 The appeal raises three main issues: (1) whether the council correctly interpreted and lawfully applied the provisions of Class Q in the GPDO (ground 1 in the appellant’s notice); (2) whether the council was entitled to accept there was a real prospect of the fallback development being implemented (ground 2); and (3) whether the council misunderstood or misapplied the “presumption in favour of sustainable development” (ground 3). E

*Did the council correctly interpret and lawfully apply the provisions of Class Q?* F

6 When the council determined the application for planning permission the permitted development rights under Class Q were in these terms, so far as is relevant here:

#### *“Q. Permitted development*

“Development consisting of— (a) a change of use of a building and any land within its curtilage from a use as an agricultural building to a use falling within Class C3 (dwellinghouses) of the Schedule to the Use Classes Order; and (b) building operations reasonably necessary to convert the building referred to in paragraph (a) to a use falling within Class C3 (dwellinghouses) of that Schedule. G

#### *“Q.1 Development not permitted*

“Development is not permitted by Class Q if ... (b) the cumulative floor space of the existing building or buildings changing use under Class Q within an established agricultural unit exceeds 450 square metres; (c) the cumulative number of separate dwellinghouses developed under Class Q within an established agricultural unit exceeds three ... (g) the H

A development would result in the external dimensions of the building extending beyond the external dimensions of the existing building at any given point; (h) the development under Class Q (together with any previous development under Class Q) would result in a building or buildings having more than 450 square metres of floor space having a use falling within Class C3 (dwellinghouses) of the Schedule to the Use Classes Order ...”

B The permitted development rights under Class Q are subject to several “Conditions” in paragraph Q.2, none of them controversial here.

7 In section 6 of his main report to committee for its meeting on 7 January 2016 the officer dealt at length with the “determining issues”. In discussing those issues he considered the “fallback position” in paras 6.14–6.19:

C “6.14 In practical terms for this site, the new permitted development rights mean that the existing agricultural barn could be converted into three residential units. Some representations point out that only a proportion of the barn could be converted in such a manner (up to 450 square metres) but the remainder—a small proportion in terms of the overall footprint—could conceivably be left unconverted and the resultant impacts for the site in terms of the amount of residential activity would be essentially the same. The building could be physically adapted in certain ways that would allow for partial residential occupation and the extensive area of hardstanding which exists between the building and the northern boundary could be used for parking and turning facilities.

D “6.15 The existing bungalow within the site could be replaced in accordance with policy CP14 with a new residential building provided that it was not materially larger than the existing building. Such a scenario would, in effect, give rise to the site being occupied by a total of four residential units albeit of a different form and type to that proposed by this application. This provides a realistic fallback position in terms of how the site could be developed.

E “6.16 I appreciate that discussion concerning realistic ‘fallback’ positions is rather complicated but, in making an assessment of any application for development, we are bound to consider what the alternatives might be for a site: in terms of what could occur on the site without requiring any permission at all (historic use rights) or using permitted development rights for alternative forms of development.

F “6.17 In this instance a scheme confined to taking advantage of permitted development would, in my view, be to the detriment of the site as a whole in visual terms. Specifically, it would have to be developed in a contrived and piecemeal fashion in order to conform to the requirements of the permitted development rights, including the need to adhere to the restrictions on the floor space that can be converted using the permitted development rights.

G “6.18 I would also mention that should the applicant wish to convert the entire barn for residential purposes, above the permitted development thresholds, such a scheme (subject to detailed design) would wholly accord with adopted policy. Again, this provides a strong indicator as to how the site could be developed in an alternative way that would still retain the same degree of residential activity as proposed

by the current application but in a more contrived manner and with a far more direct physical relationship with the nearest residential properties. A

“6.19 The current proposal therefore, in my view, offers an opportunity for a more comprehensive and coherent redevelopment of the site as opposed to a more piecemeal form of development that would arise should the applicant seek to undertake to implement permitted development rights.” B

8 For Mr Mansell, Ms Annabel Graham Paul submitted to us, as she did to the judge, that the officer’s advice in those six paragraphs betrays a misunderstanding of the provisions of Class Q in the GPDO, in particular sub-paragraphs Q.1(b) and Q.1(h). She argued that the restriction to 450 square metres in sub-paragraph Q.1(b) applies to the total floor space of the agricultural building or buildings in question, not to the floor space actually “changing use”. Before the judge, although not in her submissions in this court, Ms Graham Paul sought to bolster that contention with a passage in an inspector’s decision letter relating to a proposal for development on a site referred to by the judge as “Mannings Farm”. The inspector had observed that “[the] floor space of the existing building ... far exceeds the maximum permitted threshold, of 450 square metres, as set out in [sub-paragraph] Q.1(b)”, and that “the intention is to reduce the size of the building as part of the proposal but Q.1(b) clearly relates to existing floor space and there is no provision in the GPDO for this to be assessed on any other basis”. C D

9 Garnham J [2016] EWHC 2832 rejected Ms Graham Paul’s argument. In para 30 he said:

“In my judgment this construction of paragraph Q.1(b) fails because it disregards the definition section of the Order. The critical expression in sub-paragraph (b) is ‘the existing building or buildings’. Paragraph 2 of the Order defines ‘building’ as ‘any part of a building’. Accordingly, the paragraph should be read as meaning: ‘the cumulative floor space of the existing building or any part of the building changing use...’ If that is right, it is self-evident that the limit on floor space relates only to that part of the building which is changing use.” E F

10 The judge found support for that conclusion in several inspectors’ decisions, one of them a decision on proposed development at Bennetts Lane, Binegar in Somerset. In correspondence in that case the Department for Communities and Local Government had pointed to the definition of a “building” in the “interpretation” provisions in paragraph 2 of the GPDO. Because that definition included “any part of a building”, their view was that “in the case of a large agricultural building, part of it could change use ... and the rest remain in agricultural use”: para 32 of the judgment. However, as was accepted on both sides in this appeal, the court must construe the provisions of the GPDO for itself, applying familiar principles of statutory interpretation. G

11 In para 34 Garnham J said: H

“Ms Graham Paul contends that that construction of sub-paragraph (b) means that it adds nothing to sub-paragraph (h). I can see the force of that submission and, as a matter of first principle, statutory provision [sic] should be construed on the assumption that the draftsman was intending to add something substantive by each relevant provision.

A None the less, giving the interpretation section its proper weight, I see no alternative to the conclusion that Class Q imposes a floor space limit on those parts of the buildings which will change use as a result of the development. In those circumstances, I reject the claimant's challenge to the officer's construction of the Class Q provisions in the 2015 Order."

B 12 Ms Graham Paul submitted that this interpretation of the relevant provisions would render sub-paragraph Q.1(b) of Class Q redundant, because sub-paragraph Q.1(h) already limits the residential floor space resulting from the change of use under Class Q to a maximum of 450 square metres. The statutory provisions for permitted development rights in the GPDO ought to be interpreted consistently. The interpretation favoured by the judge, submitted Ms Graham Paul, depends on reading into sub-paragraph Q.1(b) the additional words "any part of a building" after the words "the existing building or buildings", which, she said, is wholly unnecessary. Statutory provisions ought to be construed on the assumption that the draftsman was intending to add something of substance in each provision. The judge's interpretation offends that principle, said Ms Graham Paul, because it would, in effect, subsume sub-paragraph Q.1(b) into sub-paragraph Q.1(h). Only her interpretation of sub-paragraph Q.1(b) would enable sub-paragraph Q.1(h) to add something of substance to the provisions of Class Q. And in principle, Ms Graham Paul argued, it makes good sense to prevent, without an express grant of planning permission, the partial conversion of large agricultural buildings to accommodate residential use, leaving other parts of the building either in active agricultural use or simply vacant.

E 13 Ms Graham Paul sought to reinforce these submissions by pointing to other provisions of the GPDO where similar wording is used: Class M, which provides permitted development rights for changes of use of buildings in retail or betting office or pay day loan shop use to Class C3 use, and states in sub-paragraph M.1(c) that development is not permitted if "the cumulative floor space of the existing building changing use under Class M exceeds 150 square metres"; and Class N, which provides permitted development rights for changes of use from specified sui generis uses, including use as an amusement arcade or centre, and use as a casino, to Class C3 use, and states in sub-paragraph N.1(b) that development is not permitted if "the cumulative floor space of the existing building changing use under Class N exceeds 150 square metres".

G 14 I cannot accept Ms Graham Paul's argument. I think the judge's understanding of Class Q was correct. The provisions of Class Q relating to the scope of permitted development rights should be given their literal meaning. When this is done, they make perfectly good sense in their statutory context and do not give rise to any duplication or redundancy.

H 15 The focus here is on the provisions as to development that is "not permitted" under paragraph Q.1, and in particular the provisions of sub-paragraphs Q.1(b) and Q.1(h). Sub-paragraph Q.1(b) establishes the "cumulative floor space of the existing building or buildings" that is "changing use under Class Q". The limit on such "cumulative floor space" is 450 square metres. This restriction is stated to be a restriction on the change of use, not on the size of the building or buildings in which the change of use occurs. Sub-paragraph Q.1(b) relates to a single act of development in which



the building in question, or part of it, is “changing use”. The floor space limit set by it relates not to the total floor space of the building or buildings concerned. It relates, as one would expect, to the permitted development rights themselves, which apply to the “cumulative” amount of floor space actually “changing use under Class Q”. The use of the word “cumulative” in this context—as elsewhere in the GPDO—is perfectly clear. It connotes, in relevant circumstances, the adding together of separate elements of floor space within a building or buildings, or, again in relevant circumstances, a single element of floor space, which in either case must not exceed 450 square metres. The total floor space of the building or buildings concerned may itself be more than 450 square metres. But the cumulative amount of floor space whose use is permitted to be changed within that total floor space must not exceed 450 square metres.

16 This interpretation of sub-paragraph Q.1(b) avoids arbitrary consequences in the application of the permitted development rights under Class Q. It does not make the availability of those rights for a qualifying “agricultural building” depend on the total floor space of the building itself. It would not, therefore, create a situation in which the permitted development rights under Class Q would be available for a building whose total floor space was 450 square metres, but not for a building with a floor space of 451 square metres or an area greater than that. If the consequence is that the permitted development rights, when fully used, would result in a building partly in use as a dwelling house and partly still in agricultural use, that is an outcome contemplated by the GPDO. I see no difficulty in that.

17 Had the draftsman intended to confer permitted development rights under Class Q only to a building or buildings whose total floor space was not more than 450 square metres, the relevant provision would have been framed differently. There would have been no need to use the word “cumulative” or some other such word. The provision would simply have stated, for example, “the total floor space of the existing building or buildings within an established agricultural unit in which the change of use under Class Q is being undertaken does not exceed 450 square metres”. But that is not what sub-paragraph Q.1(b) says, or, in my view, what it means.

18 Nor can I see how an interpretation of sub-paragraph Q.1(b) in which the restriction of 450 square metres applies not to the floor space actually changing use but to the total floor space of the building or buildings in which the change of use is taking place can be reconciled with the definition of “building” in paragraph 2 of the GPDO as including “part of a building”. Unless one disapplies that part of the definition of a building to sub-paragraph Q.1(b), one must read that provision as meaning “the cumulative floor space of the existing building or buildings *or part of a building* changing use under Class Q ... exceeds 450 square metres” (my emphasis). That understanding of sub-paragraph Q.1(b) would not sit happily with the concept that the restriction of 450 square metres applies not to the floor space changing use but to the total floor space of the building itself.

19 My interpretation of sub-paragraph Q.1(b) does not leave sub-paragraph Q.1(h) redundant. Sub-paragraph Q.1(h) achieves a different purpose. It prevents, for example, a change of use as “permitted development” in an agricultural building of which part is already in Class C3 use, or an aggregation of successive changes of use through separate acts of development, that would result in more than 450 square metres of floor space

A in a building or buildings being in Class C3 use. Neither of those outcomes would necessarily be prevented by sub-paragraph Q.1(b).

20 Finally, there is nothing in the provisions of Class M and Class N, or in any other provision of the GPDO, to suggest a different understanding of Class Q. The provisions in sub-paragraphs M.1(c) and N.1(b) also contain the word “cumulative” in referring to the floor space “changing use”, not to the total floor space of the “existing building or buildings”  
B in which the change of use is taking place. And in both Class M and Class N the draftsman has also included a provision—respectively in sub-paragraphs M.1(d) and N.1(c)—stating that “the development (together with any previous development under [the relevant class]) would result in more than 150 square metres of floor space in the building having changed use under [the relevant class]”. Although we are not deciding those questions, it  
C seems to me that the same analysis would hold good for those provisions too.

21 In my view, therefore, the officer did not misrepresent the permitted development rights under Class Q in his advice to the committee on the “fallback position”. The provisions of Class Q were correctly interpreted and lawfully applied.

D *Was the council entitled to accept that there was a real prospect of the fallback development being implemented?*

22 Garnham J accepted that the council was entitled to conclude that there was a “realistic” fallback. In paras 36–37 he said:

E “36. In para 6.15 of the report the officer concluded that the fallback position was ‘realistic’. In my judgment he was entitled so to conclude. The evidence establishes that there had been prior discussions between the council and the planning agent acting for the East Malling Trust who owns the site. It was crystal clear from that contact that the Trust were intending, one way or another, to develop the site. Alternative proposals had been advanced seeking the council’s likely reaction to  
F planning applications. It is in my view wholly unrealistic to imagine that were all such proposals to be turned down the owner of the site would not take advantage of the permitted development provided for by Class Q to the fullest extent possible.

G “37. It was not a precondition to the council’s consideration of the fallback option that the interested party had made an application indicating an intention to take advantage of Class Q. There was no requirement that there be a formulated proposal to that effect. The officer was entitled to have regard to the planning history which was within his knowledge and the obvious preference of the Trust to make the most valuable use it could of the site.”

H 23 The judge accepted the submission of Mr Juan Lopez for the council that the committee did not have to ignore fallback development that included elements for which planning permission would be required and had not yet been granted. He noted that “[the] building could be converted, so as to provide dwelling houses limited in floor space to 450 square metres, by the construction of internal walls without using the whole of the internal space of the barn”: para 40. And he went on to say, in para 41:

“In my judgment therefore, it would have been unrealistic to have concluded that, were the present application for permission to be rejected, the interested party would do nothing to develop this site. On the contrary it was plain that development was contemplated and that some development could have taken place pursuant to Class Q. The council was entitled to have regard to the fact that there might be separate applications for permission in respect of some elements of the scheme and to advise that appropriate regard must be had to material planning considerations including the permitted development fallback position. Accordingly I reject the second element of the claimant’s challenge on ground 1.”

24 Ms Graham Paul criticised the judge’s approach. She said it would enable permitted development rights under the GPDO to be relied on as a fallback even where there was no evidence that the landowner or developer would in fact resort to such development. The judge did not consider whether the council had satisfied itself that there was a “real prospect” of the fallback development being implemented: see the judgment of Sullivan LJ in *Samuel Smith Old Brewery (Tadcaster) v Secretary of State for Communities and Local Government* [2009] JPL 1326, para 21. The “real prospect”, submitted Ms Graham Paul, must relate to a particular fallback development contemplated by the landowner or developer, not merely some general concept of development that might be possible on the site. Only a specific fallback makes it possible for a comparison to be made between the planning merits of the development proposed and the fallback development. The relevance of a fallback depends on there being a “finding of an actually intended use as opposed to a mere legal or theoretical entitlement”: see the judgment of Mr Christopher Lockhart-Mummery QC, sitting as a deputy judge of the Queen’s Bench Division, in *R v Secretary of State for the Environment, Ex p PF Ahern (London) Ltd* [1998] Env LR 189, 196.

25 Ms Graham Paul said there was nothing before the council to show that either the East Malling Trust or Croudace Portland contemplated the site being developed in the way the officer described in his report. On the contrary, the conversion of the barn for residential use—as opposed to its demolition and replacement with new dwellings—seems to have been regarded as impracticable or uneconomic. The East Malling Trust’s planning consultant, Broadlands Planning Ltd, had submitted a “planning statement” to the council in December 2013, seeking the council’s advice before the submission of an application for planning permission. In that document two possible schemes for the site were referred to: para 26. Neither could have been achieved using permitted development rights. One involved the retention of the barn and its conversion to four dwelling houses, the other a “wholesale redevelopment of the site”, perhaps with the replacement of the bungalow, to create five new dwellings. In a letter to Broadlands Planning Ltd dated 30 January 2014 the council’s senior planning officer, Ms Holland, said she was “not convinced that the proposal would result in the building being converted, but rather [that] large portions would be removed and a new building created”. And the East Malling Trust’s marketing agent, Smiths Gore, in a letter to potential developers dated 27 February 2014, suggested it was “unlikely that a developer would contemplate the conversion of the Apple Store”. There was, said Ms Graham Paul, no other

A contemporaneous evidence to lend substance to the fallback scheme to which the officer referred in his report, and no evidence of the council trying to find out what, if anything, was actually contemplated. The evidence did not demonstrate a “real prospect”—as opposed to a merely “theoretical” prospect—of such a development being carried out. The judge should have recognised that the fallback development referred to in the officer’s report was not a material consideration.

B 26 I cannot accept that argument. In my view the officer did not misunderstand any principle of law relating to a fallback development. His advice to the members was sound.

27 The status of a fallback development as a material consideration in a planning decision is not a novel concept. It is very familiar. Three things can be said about it:

C (1) Here, as in other aspects of the law of planning, the court must resist a prescriptive or formulaic approach, and must keep in mind the scope for a lawful exercise of planning judgment by a decision-maker.

D (2) The relevant law as to a “real prospect” of a fallback development being implemented was applied by this court in the *Samuel Smith Old Brewery* case: see, in particular, paras 17–30 of Sullivan LJ’s judgment, with which Sir Anthony Clarke MR and Toulson LJ agreed; and the judgment of Supperstone J in *Kverndal v Hounslow London Borough Council* [2016] PTSR 330, paras 17 and 42–53. As Sullivan LJ said in the *Samuel Smith Old Brewery* case [2009] JPL 1326, in this context a “real” prospect is the antithesis of one that is “merely theoretical”: para 20. The basic principle is that “for a prospect to be a real prospect, it does not have to be probable or likely: a possibility will suffice”: para 21. Previous decisions at first instance, including *Ex p PF Ahern (London) Ltd* [1998] Env LR 189 and *Brentwood Borough Council v Secretary of State for the Environment* (1996) 72 P & CR 61 must be read with care in the light of that statement of the law and bearing in mind, as Sullivan LJ emphasised, “‘fallback’ cases tend to be very fact-specific”: para 21. The role of planning judgment is vital. And, at [2009] JPL 1326, para 22:

F “[it] is important ... not to constrain what is, or should be, in each case the exercise of a broad planning discretion, based on the individual circumstances of that case, by seeking to constrain appeal decisions within judicial formulations that are not enactments of general application but are themselves simply the judge’s response to the facts of the case before the court.”

G (3) Therefore, when the court is considering whether a decision-maker has properly identified a “real prospect” of a fallback development being carried out should planning permission for the proposed development be refused, there is no rule of law that, in every case, the “real prospect” will depend, for example, on the site having been allocated for the alternative development in the development plan or planning permission having been granted for that development, or on there being a firm design for the alternative scheme, or on the landowner or developer having said precisely how he would make use of any permitted development rights available to him under the GPDO. In some cases that degree of clarity and commitment may be necessary; in others, not. This will always be a matter for the decision-maker’s planning judgment in the particular circumstances of the case in hand.

28 In this case, in the circumstances as they were when the application for planning permission went before the committee, it was plainly appropriate, indeed necessary, for the members to take into account the fallback available to the East Malling Trust as the owner of the land, including the permitted development rights arising under Class Q in the GPDO and the relevant provisions of the development plan, in particular policy CP14 of the core strategy. Not to have done so would have been a failure to have regard to a material consideration and thus an error of law.

29 That the East Malling Trust was intent upon achieving the greatest possible value from the redevelopment of the site for housing had by then been made quite plain. The “planning statement” of December 2013 had referred to two alternative proposals for the redevelopment of the site (para 26), pointing out that both “[the] redevelopment and replacement of [the] bungalow” and “[the] conversion of the existing storage and packing shed” were “permissible in principle”: para 35. The firm intention of the East Malling Trust to go ahead with a residential development was entirely clear at that stage.

30 In my view it was, in the circumstances, entirely reasonable to assume that any relevant permitted development rights by which the East Malling Trust could achieve residential development value from the site would ultimately be relied upon if an application for planning permission for the construction of new dwellings were refused. That was a simple and obvious reality—whether explicitly stated by the East Malling Trust or not. It was accurately and quite properly reflected in the officer’s report to committee. It is reinforced by evidence before the court—in the witness statement of Mr Humphrey, the council’s director of planning, housing and environmental health, dated 18 March 2016 (in paras 6–24), in the witness statement of Mr Wilkinson, the land and sales manager of Croudace Portland, also dated 18 March 2016 (in paras 4–7), in the first witness statement of Ms Flanagan, the property and commercial director of the East Malling Trust, dated 17 March 2016 (in paras 4–6), and in Ms Flanagan’s second witness statement, dated 17 June 2016 (in paras 2–5).

31 As Ms Flanagan says (in para 2 of her second witness statement):

“At para 6 of my first witness statement, I state that there was no doubt that the Trust would consider alternatives to the preferred scheme. To further amplify, the trust (as a charitable body) is tasked with obtaining best value upon the disposal of its assets. A number of alternative uses were considered for the site, including industrial uses. However the board was aware that a residential scheme of some type would provide the best value for the application land, even were that to include a conversion of the existing agricultural building.”

Ms Flanagan goes on to refer to Smiths Gore’s letter of 27 February 2014 (in paras 4 and 5):

“4. ... This letter ... states that at that time [Smith Gore’s] opinion was that it was unlikely that a scheme of conversion would be contemplated by any developer. However, this letter pre-dated the permitted development rights that subsequently came into effect in April 2014. By the time the planning application had formally been submitted, these permitted development rights were in effect.

A “5. Had no other scheme proven accep` in planning terms, and if planning permission had been refused for the development the subject of the planning application, the trust would have built out a ‘permitted development’ scheme to the fullest extent possible in order to realise the highest value for the land, in order to thereafter seek disposal to a developer.”

B 32 That evidence is wholly unsurprising. And it confirms the East Malling Trust’s intentions as they were when the council made its decision to grant planning permission in January 2016, by which time the current provisions for “permitted development” under Class Q of the GPDO had come into effect. It states the East Malling Trust’s position as landowner at that stage—  
C as opposed to the view expressed by an officer of the council, and an opinion by a marketing agent in a letter to developers, almost two years before. It is consistent with what was being said on behalf of the East Malling Trust in its dealings with the council from the outset—in effect, that the site was going to be redeveloped for housing even if this had to involve the conversion and change of use of the barn to residential use. It reflects the fiduciary duty of the trustees. And it bears out what the council’s officer said about the “fallback position” in his report to committee.

D 33 I do not see how it can be said that the officer’s assessment of the “fallback position”, which the committee adopted, offends any relevant principle in the case law—in particular the concept of a “real prospect” as explained by Sullivan LJ in the *Samuel Smith Old Brewery* case [2009] JPL 1326. It was, in my view, a faithful application of the principles in the authorities in the particular circumstances of this case. It also demonstrates  
E common sense.

34 The officer did not simply consider the fallback in a general way, without regard to the facts. He considered it in specific terms, gauging the likelihood of its being brought about if the council were to reject the present proposal. In the end, of course, these were matters of fact and planning judgment for the committee. But the officer’s advice in paras 6.14–6.19 of his report was, I believe, impeccable. He was right to say, in para 6.14, that  
F the “new permitted development rights”—under Class Q in the GPDO—would enable the barn to be converted into three residential units; in the same paragraph, that the building “could be physically adapted in certain ways that would allow for partial residential occupation”; and, in para 6.15, that the bungalow “could be replaced in accordance with policy CP14 with  
G a new residential building provided that it was not materially larger than the existing building”. He was also right to say, therefore, that the site could be developed for “four residential units albeit of a different form and type to that proposed by this application”. All of this was factually correct, and represented what the council knew to be so. It did not overstate the position. It went no further than the least that could realistically be achieved by way of a fallback development—through the use of permitted development rights  
H under Class Q and an application for planning permission complying with policy CP14.

35 The officer also guided the committee appropriately in what he said about the realism of the “fallback position”. At the end of para 6.15 of his report he said that the fallback development he had described was “a realistic fallback position in terms of how the site could be developed”. He was well

aware of the need to take into account only a fallback development that was truly “realistic”, not merely “theoretical”. He came back, in para 6.16, to the question of “realistic ‘fallback’ positions”, again reminding the members that this was what had to be considered. He went on to acknowledge, rightly, that the council had to consider what could be achieved “using permitted development rights for alternative forms of development”. The context for this advice was that in his view, as he said in para 6.15, he was dealing with “a realistic fallback position”. He went on in para 6.17 to consider what “would” happen if a scheme taking advantage of permitted development rights came forward. And in para 6.18 his advice was that a redevelopment involving the conversion of “the entire barn for residential purposes, above the permitted development thresholds ... would wholly accord with adopted policy”. That was a legally sound planning judgment. The same may also be said of the officer’s conclusion in para 6.19, where he compared the proposal before the committee with the “more piecemeal form of development that would arise should the applicant seek to undertake to implement permitted development rights”.

36 In short, none of the advice given to the council’s committee on the “fallback position” can, in the particular circumstances of this case, be criticised. It was, I think, unimpeachable.

37 In my view, therefore, the council was entitled to accept that there was a “real prospect” of the fallback development being implemented, and to give the weight it evidently did to that fallback as a material consideration. In doing so, it made no error of law.

*Was the judge right to conclude that the council did not misunderstand or misapply the “presumption in favour of sustainable development” in the NPPF?*

38 Paragraph 14 of the NPPF states:

“At the heart of [the NPPF] is a presumption in favour of sustainable development, which should be seen as a golden thread running through both plan-making and decision-taking.”

“For decision-taking this means:

“• approving development proposals that accord with the development plan without delay; and

“• where the development plan is absent, silent or relevant policies are out-of-date, granting permission unless:

“—any adverse impacts of doing so would significantly and demonstrably outweigh the benefits, when assessed against the policies in [the NPPF] taken as a whole; or

“—specific policies in [the NPPF] indicate development should be restricted.”

39 In the *East Staffordshire* case [2018] PTSR 88 this court stated its understanding of the policy for the “presumption in favour of sustainable development” in the NPPF, and how that presumption is intended to operate: see paras 34 and 35 of my judgment. In doing so, it approved the relevant parts of the judgment of Holgate J in *Trustees of the Barker Mill Estates v Test Valley Borough Council* [2017] PTSR 408 (in particular paras 126, 131, 136, and 140–143). Three simple points emerged: see para 35 of my judgment. The first and second of those three points need not be set out again

A here. The third, however, is worth repeating—because it bears on the issue we are considering now. I shall emphasise the most important principle for our purposes here:

B “(3) *When the [duty under section 38(6) of the of the Planning and Compulsory Purchase Act 2004] is lawfully performed, a development which does not earn the ‘presumption in favour of sustainable development’—and does not, therefore, have the benefit of the ‘tilted balance’ in its favour—may still merit the grant of planning permission. On the other hand, a development which does have the benefit of the ‘tilted balance’ may still be found unacceptable, and planning permission for it refused ... This is the territory of planning judgment, where the court will not go except to apply the relevant principles of public law ...* The ‘presumption in favour of sustainable development’ is not irrebuttable. Thus, in a case where a proposal for the development of housing is in conflict with a local plan whose policies for the supply of housing are out of date, the decision-maker is left to judge, in the particular circumstances of the case in hand, how much weight should be given to that conflict. The absence of a five-year supply of housing land will not necessarily be conclusive in favour of the grant of planning permission. This is not a matter of law. It is a matter of planning judgment: see [*Crane v Secretary of State for Communities and Local Government* [2015] EWHC 425 (Admin) at [70]–[74]].”

40 The judgments in this court in the *East Staffordshire* case [2018] PTSR 88 entirely supersede the corresponding parts of several judgments at first instance—including, most recently, *Reigate and Banstead Borough Council v Secretary of State for Communities and Local Government* [2017] JPL 1368. In those cases, judges in the Planning Court have offered various interpretations of NPPF policy for the “presumption in favour of sustainable development”, and have explained how, in their view, the presumption should work. There is no need for that to continue. After the decision of the Court of Appeal in the *East Staffordshire* case, it is no longer necessary, or appropriate, to cite to this court or to judges in the Planning Court any of the first instance judgments in which the meaning of the presumption has been considered.

41 The Planning Court—and this court too—must always be vigilant against excessive legalism infecting the planning system. A planning decision is not akin to an adjudication made by a court: see para 50 of my judgment in the *East Staffordshire* case. The courts must keep in mind that the function of planning decision-making has been assigned by Parliament, not to judges, but—at local level—to elected councillors with the benefit of advice given to them by planning officers, most of whom are professional planners, and—on appeal—to the Secretary of State and his inspectors. They should remember too that the making of planning policy is not an end in itself, but a means to achieving reasonably predictable decision-making, consistent with the aims of the policy-maker. Though the interpretation of planning policy is, ultimately, a matter for the court, planning policies do not normally require intricate discussion of their meaning. A particular policy, or even a particular phrase or word in a policy, will sometimes provide planning lawyers with a “doctrinal controversy”. But even when the higher courts disagree as to the meaning of the words in dispute, and even when the policy-



maker's own understanding of the policy has not been accepted, the debate in which lawyers have engaged may turn out to have been in vain—because, when a planning decision has to be made, the effect of the relevant policies, taken together, may be exactly the same whichever construction is right: see para 22 of my judgment in the *East Staffordshire* case [2018] PTSR 88. That of course may not always be so. One thing, however, is certain, and ought to be stressed. Planning officers and inspectors are entitled to expect that both national and local planning policy is as simply and clearly stated as it can be, and also—however well or badly a policy is expressed—that the court's interpretation of it will be straightforward, without undue or elaborate exposition. Equally, they are entitled to expect—in every case—good sense and fairness in the court's review of a planning decision, not the hypercritical approach the court is often urged to adopt.

42 The principles on which the court will act when criticism is made of a planning officer's report to committee are well settled. To summarise the law as it stands:

(1) The essential principles are as stated by the Court of Appeal in *R v Selby District Council, Ex p Oxtou Farms* [2017] PTSR 1103: see, in particular, the judgment of Judge LJ. They have since been confirmed several times by this court, notably by Sullivan LJ in *R (Siraj) v Kirklees Metropolitan Borough Council* [2011] JPL 571, para 19, and applied in many cases at first instance: see, for example, the judgment of Hickinbottom J in *R (Zurich Assurance Ltd (trading as Threadneedle Property Investments)) v North Lincolnshire Council* [2012] EWHC 3708 (Admin) at [15].

(2) The principles are not complicated. Planning officers' reports to committee are not to be read with undue rigour, but with reasonable benevolence, and bearing in mind that they are written for councillors with local knowledge: see the judgment of Baroness Hale of Richmond JSC in *R (Morge) v Hampshire County Council* [2011] PTSR 337, para 36 and the judgment of Sullivan J in *R v Mendip District Council, Ex p Fabre* [2017] PTSR 1112, 1120. Unless there is evidence to suggest otherwise, it may reasonably be assumed that, if the members followed the officer's recommendation, they did so on the basis of the advice that he or she gave: see the judgment of Lewison LJ in *R (Palmer) v Herefordshire Council* [2017] 1 WLR 411, para 7. The question for the court will always be whether, on a fair reading of the report as a whole, the officer has materially misled the members on a matter bearing upon their decision, and the error has gone uncorrected before the decision was made. Minor or inconsequential errors may be excused. It is only if the advice in the officer's report is such as to misdirect the members in a material way—so that, but for the flawed advice it was given, the committee's decision would or might have been different—that the court will be able to conclude that the decision itself was rendered unlawful by that advice.

(3) Where the line is drawn between an officer's advice that is significantly or seriously misleading—misleading in a material way—and advice that is misleading but not significantly so will always depend on the context and circumstances in which the advice was given, and on the possible consequences of it. There will be cases in which a planning officer has inadvertently led a committee astray by making some significant error of fact (see, for example *R (Loader) v Rother District Council* [2017] JPL 25), or has plainly misdirected the members as to the meaning of a relevant

A policy: see, for example, *R (Watermead Parish Council) v Aylesbury Vale District Council* [2018] PTSR 43. There will be others where the officer has simply failed to deal with a matter on which the committee ought to receive explicit advice if the local planning authority is to be seen to have performed its decision-making duties in accordance with the law: see, for example, *R (Williams) v Powys County Council* [2018] 1 WLR 439. But unless there is some distinct and material defect in the officer's advice, the court will not interfere.

43 Was the officer's advice to the members in this case flawed in that way? I do not think so.

44 In para 6.1 of his report the officer said:

C "As members are aware, the council in its role as local planning authority is required to determine planning applications and other similar submissions in accordance with the development plan in force unless material considerations indicate otherwise ... The NPPF and the associated [Planning Practice Guidance] are important material considerations."

D He went on to consider the relevant policies of the development plan, in particular policies CP11, CP12, CP13 and CP14 of the core strategy, and then advised the committee, in para 6.6:

E "With the above policy context in mind, it is clear that the proposal relates to new development outside the village confines (on land which is not defined as 'previously developed' for the purposes of applying NPPF policy), is not part of a wider plan of farm diversification and is not intended to provide affordable housing as an exceptions site. Consequently, the proposed development falls outside of the requirements of these policies and there is an objection to the principle of the proposed development in the broad policy terms."

F And in para 6.7: "It is therefore necessary to establish whether any other material planning considerations exist that outweigh the policy objections to the scheme in these particular circumstances."

G 45 In para 6.8 the officer acknowledged, in the light of the relevant guidance in the Planning Practice Guidance, that "the policies contained in ... the NPPF are material considerations and must be taken into account", and, in para 6.9, that since the core strategy had been adopted in 2007 it was "necessary to establish how consistent the above policies are with the policies contained within the NPPF". His advice in paras 6.10–6.13 of his report was:

H "6.10 With this in mind, it must be noted that paragraph 49 of the NPPF states that applications for new housing development should be considered in the context of the presumption in favour of sustainable development. Paragraph 50 of the NPPF emphasises the importance of providing a wide choice of high quality homes, to widen opportunities for home ownership and create sustainable, inclusive and mixed communities. Paragraph 55 states that in order to promote sustainable development in rural areas, housing should be located where it will enhance or maintain the vitality of rural communities.

“6.11 These criteria all demonstrate a clear government momentum in favour of sustainable development to create new homes and drive economic development. The proposed development would create four high quality new homes on the very edge of an existing village settlement.”

“6.12 A further indicator of such emphasis is borne out of the recent changes to the regime of permitted development rights set out by national government by the Town and Country Planning (General Permitted Development) Order 2015. This allows for far more development to take place without the need for planning permission from local authorities and generally provides a steer as to government’s thinking on how to boost the country’s economy through the delivery of new homes.”

“6.13 Such continued emphasis from government is a material consideration that must be balanced against the policy context set out in the Tonbridge and Malling Borough Core Strategy.”

46 I have already referred to the officer’s advice on the “fallback position” in paras 6.14–6.19 of his report. In paras 6.20–6.42 he considered the planning merits of the proposal and its advantages by comparison with the fallback development, drawing the committee’s attention to relevant policies both in the core strategy and in the NPPF. He advised that the design and density of the proposed development were acceptable and beneficial: paras 6.20–6.23. In para 6.24 he said:

“With these considerations in mind, particularly the emphasis contained within the NPPF concerning sustainable development generally, the impetus behind the provision of new homes, the benefits of removing existing structures and the permitted development ‘fallback’ position, it is my view that, on balance, other material considerations can weigh in favour of the grant of planning permission.”

47 He concluded that the effects of the development on the settings of listed buildings and the setting of East Malling Conservation Area would not be harmful: paras 6.25–6.30. He also found the proposed arrangements for access to the site and for car parking acceptable: para 6.31–6.36. He advised, at para 6.33:

“the existing barn could be partially converted and the existing access retained for use by those units which arguably could have a greater impact on amenity in terms of activity, noise and disturbance than the proposed development simply by virtue of the greater degree of proximity to the existing residential properties.”

He told the committee that in his view it “would be counterproductive to seek affordable housing contributions as this would merely limit the ability of the trust to recycle funds to provide wider support for the trust”: para 6.37. And the loss of grade 2 agricultural land was “not ... a justifiable reason to refuse planning permission”: para 6.39.

48 The final paragraph of the officer’s report is para 6.42, where he said:

“In conclusion, it is important to understand that the starting point for the determination of this planning application rests with the adopted development plan. Against that starting point there are other material

A planning considerations that must be given appropriate regard, not least  
the requirements set out within the NPPF which is an important material  
consideration and the planning and design of the proposal for the site in  
the context of the permitted development fallback position. The weight  
to attribute to each of those other material planning considerations, on  
an individual and cumulative basis, and the overall balance is ultimately  
B a matter of judgment for the planning committee. My view is that the  
balance can lie in favour of granting planning permission.”

49 In recording the argument on this issue in the court below,  
Garnham J noted Ms Graham Paul’s submission that “the presumption in  
favour of sustainable development set out in paragraph 14 of [the NPPF]  
was not operative” in this case—because the development plan was in  
C place and up-to-date and the council was able to demonstrate a five-year  
supply of deliverable housing sites: para 43 of the judgment. Ms Graham  
Paul had conceded that “sustainability may be capable of being a material  
consideration in considering a conflict with a development plan”. What the  
officer had done in para 6.10 of his report, said the judge, had been “to invite  
the committee to note the effect of paragraphs 49, 50 and 55 [of the  
D NPPF]”. It was not suggested that those paragraphs of the NPPF had been  
misrepresented. Nor was it suggested that the officer had failed to point out  
that the proposed development “fell outside the local plan”; he had done that  
in para 6.6 of his report. In those circumstances, said the judge, “it cannot  
sensibly be argued that the officer misled the committee in any material  
respect”: para 47. The judge also rejected the submission that paragraphs 49,  
E 50 and 55 of the NPPF were irrelevant. He observed that the NPPF “provides  
for a presumption in favour of sustainable development which it says should  
be seen ‘as a golden thread’ running through decision-taking”. He added  
that “[the] weight to be given to those considerations in any given case is  
a matter for the planning authority but it cannot, at least on facts such as  
the present, be said that the underlying principle is irrelevant”: para 48. He  
F rejected the submission that the officer had not justified the departure from  
the development plan. The officer’s report, he said, “accurately and fairly sets  
out the competing considerations and it was a matter for the judgment of the  
planning authority how those considerations were resolved”: para 49.

50 In the submissions they made to us at the hearing, though not in  
their respective skeleton arguments, both Ms Graham Paul and Mr Lopez  
recast their arguments in the light of what this court has now said about the  
G “presumption in favour of sustainable development” in the *East Staffordshire*  
case [2018] PTSR 88, including the basic point that the presumption is  
contained solely in paragraph 14 of the NPPF: see para 35 of my judgment  
in that appeal. They were right to do so.

51 It was common ground before us, as it was in the court below,  
that the “presumption in favour of sustainable development” did not apply  
to the proposal. And the council’s officer did not advise the committee  
H that it did. As Ms Graham Paul acknowledged, the only reference to the  
“presumption in favour of sustainable development” in the officer’s report  
is in the first sentence of para 6.10. But, she submitted, in view of what  
the officer said in that paragraph of the report, and also in para 6.42, we  
should conclude that the committee took the presumption into account as  
a material consideration, which it ought it not to have done. Ms Graham

Paul did not submit that the proposal was given the benefit of the so called “tilted balance”. But she argued that the effect of the officer’s advice was that the “presumption in favour of sustainable development” was one of the “requirements set out within the NPPF”, which the officer treated as “an important material consideration” and a significant factor weighing in favour of the proposal in the planning balance. A

52 I disagree. In my view the argument fails on a straightforward reading of the officer’s report, in the light of the judgments in this court in the *East Staffordshire* case [2018] PTSR 88. I do not accept that the officer counted the “presumption in favour of sustainable development” as a material consideration weighing in favour of planning permission being granted. B

53 The reference to the “presumption in favour of sustainable development” in para 6.10 of the officer’s report is a quotation of the first sentence of paragraph 49 of the NPPF, not of paragraph 14. The quotation is correct. In the same paragraph of the report the officer also referred to two other passages of policy in the NPPF, namely paragraphs 50 and 55. The policies are correctly summarised. The common factor in those three passages of NPPF policy is not the “presumption in favour of sustainable development”. It is the promotion, in national planning policy, of sustainable housing development. That this is what the officer had in mind in this part of the report is very clear from what he went on to say in paras 6.11, 6.12 and 6.13, and then in para 6.24. C D

54 In those paragraphs the officer was not purporting to apply the “presumption in favour of sustainable development” to the proposal. Nor did he advise the committee that the presumption was engaged, or that it was, in itself, a material consideration weighing in favour of the proposal. He referred, in para 6.11, to “[these] criteria”—meaning the matters to which he had referred in para 6.10—as demonstrating “a clear government momentum in favour of sustainable development to create new homes and drive economic development”; in paras 6.12 and 6.13 respectively, to “such emphasis” and “[such] continued emphasis from government”; and in para 6.24 to “the emphasis contained within the NPPF concerning sustainable development generally” (my emphasis). The language in those paragraphs is very distinctly not the language one would have expected the officer to have used if he thought he was applying the “presumption in favour of sustainable development”. The intervening and subsequent assessment, culminating in his final conclusion on the planning merits of the proposal in para 6.42, is concerned with its credentials and benefits—and advantages when compared with the fallback—as sustainable development. E F

55 Para 6.42 of the officer’s report does not, in my view, betray a misunderstanding of NPPF policy for the “presumption in favour of sustainable development”. The advice given to the committee in that paragraph was not inaccurate or misleading. The officer did not undertake the planning balance in terms of the policy for “decision-taking” in paragraph 14 of the NPPF. There can be no suggestion that, contrary to his earlier conclusion and advice in paras 6.6 and 6.7 of his report, he was treating this as a case in which the proposal accorded with the development plan, so that it was to be approved “without delay” under the first limb of the policy for “decision-taking” in paragraph 14. Nor can it be suggested that, contrary to the whole tenor of his assessment of the proposal in paras 6.1–6.41, this was a case in which the development plan was “absent” or “silent” G H

A or any “relevant policies” of it were “out-of-date”, so that the second limb of the policy for “decision-taking” in paragraph 14 applied.

56 This case is clearly and materially different from the *East Staffordshire* case [2018] PTSR 88—a case that shows what can go wrong when a decision-maker is misled as to the meaning and effect of government policy for the “presumption in favour of sustainable development”. Here the officer did not commit an error of the kind made by the inspector—and conceded by the Secretary of State—in that case: the mistake of discerning a “presumption in favour of sustainable development” outside paragraph 14 of the NPPF and treating that wider presumption as a material consideration weighing in favour of the proposal: see paras 43–48 of my judgment in the *East Staffordshire* case. The officer did not say, as the inspector did in the *East Staffordshire* case, that “where a proposal is contrary to the development plan [the ‘presumption in favour of sustainable development’] is a material consideration that should be taken into account”: para 12 of the decision letter in that case. Unlike the inspector in that case (in paras 37–41 of his decision letter), he did not bring the “presumption in favour of sustainable development” into the balancing exercise as a material consideration: see paras 26 and 29 of my judgment. And, in my opinion, it cannot realistically be suggested that the members would have thought they were being invited to apply that presumption in government policy, or to give it weight as a material consideration, in their assessment of the proposal.

57 The “presumption in favour of sustainable development” did not, in fact, feature as a material consideration to which the officer gave any positive weight when undertaking the planning balance. The exercise he conducted in para 6.42 of his report was an entirely conventional and lawful balance of other material considerations against the identified conflict with the development plan, as section 38(6) of the Planning and Compulsory Purchase Act 2004 requires. It was, in fact, a classic example of that provision in practice. This is not to say that in his assessment of the proposal he had to refrain from considering the extent to which it complied with relevant NPPF policies—in particular, in the specific respects to which he referred, the sustainability of the proposed development in the light of NPPF policy, as well as its compliance with relevant policies of the development plan. That was a perfectly legitimate, and necessary, part of the planning assessment in this case. Had the officer left it out, he would have been in error, because he would then have been failing to have regard to material considerations. But he did not make that mistake. He assessed the proposal comprehensively on its planning merits, exercising his planning judgment on the relevant planning issues. He took into account the sustainability of the proposed development in the light of NPPF policy, but without giving it the added impetus of the “presumption in favour of sustainable development”. I cannot fault the advice he gave.

58 Finally on this issue, I do not accept the suggestion made by Ms Graham Paul in reply that the council’s response to Mr Mansell’s solicitors’ pre-application protocol letter, in its solicitors’ letter dated 22 February 2016, can be read as conceding the error for which Ms Graham Paul contended. In fact, it squarely denied that error. Having referred to the quotation of the first sentence of paragraph 49 of the NPPF in para 6.10 of the officer’s report, it acknowledged that the proposal was a “departure from the development plan” and that the development plan was not “absent” or

“silent” nor were relevant policies “out-of-date”. It then said that neither the officer nor the committee had treated the “presumption in favour of sustainable development” under paragraph 14 of the NPPF as “operative” in this case. It acknowledged, therefore, that neither of the limbs of the policy for “decision-taking” in paragraph 14 of the NPPF could have applied here. And it said that the officer’s report “does not begin to suggest otherwise”. I agree.

59 It follows that this ground of appeal must also fail.

### Conclusion

60 For the reasons I have given, I would dismiss this appeal.

### HICKINBOTTOM LJ

61 I agree with both judgments. Without diminishing my concurrence with anything my Lords have said, I would wish expressly to endorse the observations of Lindblom LJ in paras 39–40 to the effect that, in future, reference to pre-*East Staffordshire Borough Council v Secretary of State for Communities and Local Government* [2018] PTSR 88 authorities on the meaning and operation of the presumption in paragraph 14 of the National Planning Policy Framework should be avoided; and in para 41, supported by the further comments of Sir Geoffrey Vos C, on the respective roles of planning decision-makers and the courts in planning cases.

### SIR GEOFFREY VOS C

62 I too agree with Lindblom LJ’s judgment, but would add a few words from a more general perspective. In the course of the argument, one could have been forgiven for thinking that the contention that the presumption in favour of sustainable development in the National Planning Policy Framework had been misapplied in the planning officer’s report turned on a minute legalistic dissection of that report. It cannot be over-emphasised that such an approach is wrong and inappropriate. As has so often been said, planning decisions are to be made by the members of the planning committee advised by planning officers. In making their decisions, they must exercise their own planning judgment and the courts must give them space to undertake that process.

63 Appeals should not, in future, be mounted on the basis of a legalistic analysis of the different formulations adopted in a planning officer’s report. An appeal will only succeed, as Lindblom LJ has said, if there is some distinct and material defect in the report. Such reports are not, and should not be, written for lawyers, but for councillors who are well-versed in local affairs and local factors. Planning committees approach such reports utilising that local knowledge and much common sense. They should be allowed to make their judgments freely and fairly without undue interference by courts or judges who have picked apart the planning officer’s advice on which they relied.

64 It is also appropriate to reiterate what Lindblom LJ said in *East Staffordshire Borough Council v Secretary of State for Communities and Local Government* [2018] PTSR 88, para 35, to the effect that planning decision-makers have to exercise planning judgment as much when the presumption in favour of sustainable development is applicable as they do

A when it is not. The presumption may be rebutted when it is applicable, and planning permission may be granted where it is not. In each case, the decision-makers must use their judgment to decide where the planning balance lies based on material considerations. It is not for the court to second guess that planning judgment once it is exercised, unless as I have said it is based on a distinct and material defect in the report.

B 65 I agree that this appeal should be dismissed.

*Appeal dismissed.*

SALLY DOBSON, Barrister

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